

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

| | |
|--|---|
| 1. File Number U - <u>4583</u> | 2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u> |
| 3. Name and address of person filing. Name <u>MICHAEL</u> <u>RIFKIN</u> P.O. Box, Bldg., Room No., if any _____ Street <u>310 W. 43RD STREET, 10TH FLOOR</u> City <u>NEW YORK</u> State <u>New York</u> ZIP Code + 4 <u>10036</u> | 4. Name, file number, and address of labor organization. Name <u>NEW YORK'S HEALTH&HUMAN SERVICE UNION 1199SEIU</u> Labor Organization File Number <u>031-847</u> P.O. Box, Building and Room Number, if any _____ Street <u>310 W. 43rd STREET</u> City <u>NEW YORK</u> State <u>New York</u> ZIP Code + 4 <u>10036-6407</u> |
| 5. Position in labor organization. <u>EXECUTIVE VICE-PRESIDENT</u> | |

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

| | |
|--|--|
| A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent. | |
| 6. Name and address of Employer (including trade name, if any). Name _____ Trade Name, if any: _____ P.O. Box, Bldg., Room No., if any _____ Street _____ City _____ State _____ ZIP Code + 4 _____ | 7.a. Nature of Interest, Transaction, or Income. _____ 7.b. Amount. _____ |

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed M. Rifkin On 07/22/2005 212-261-2285
Date Telephone Number

Name of Person Filing MICHAEL RIFKIN

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name 1199 NATIONAL BENEFIT FUND*

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 330 W. 42nd STREET

City NEW YORK

State New York

ZIP Code + 4

9. Business deals with:



a. Labor Organization



b. Trust



c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

PROVIDING VARIOUS HEALTH AND WELFARE BENEFITS TO EMPLOYEES COVERED BY 1199'S COLLECTIVE BARGAINING AGREEMENTS.

*THE 1199 NATIONAL BENEFIT FUND IS THE PAYING AGENT FOR TRUSTEE CONFERENCES AND MEETINGS FOR SEVERAL FUNDS.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

AS A TRUSTEE OF THE 1199 NATIONAL BENEFIT FUND I ATTENDED A CONFERENCE FOR WHICH I RECEIVED TRAVEL, LODGING, MEALS AND OTHER CONFERENCE-RELATED EXPENSES.

12.b. Amount.

\$3,055

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐or Consultant ☐

?

14.b. Amount of payment.

Name of Person Filing MICHAEL RIFKIN

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name HOSPITAL LEAGUE/1199 TRAINING&UPGRADING FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 330 W. 42ND STREET

City NEW YORK

State New York ZIP Code + 4 10036

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

PROVIDING VARIOUS JOB TRAINING AND UPGRADING BENEFITS TO EMPLOYEES COVERED BY 1199 COLLECTIVE BARGAINING AGREEMENTS.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

AS A TRUSTEE FOR THE HOSPITAL LEAGUE/1199 TRAINING & UPGRADING FUND, THE 1199 HOSPITAL LEAGUE HEALTH CARE INDUSTRY PLANNING AND PLACEMENT FUND AND THE 1199 JOB SECURITY FUND, I ATTENDED A TRUSTEES MEETING FOR WHICH I RECEIVED EXPENSES FOR LODGING & MEALS

12.b. Amount.

\$467